

11/20/00
JC912 U.S. PRO**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
PF-2695**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Hidemitsu AOKI and Hiroaki TOMIMORI
corresponding to Japanese application No. 11-329324, filed
November 19, 1999,
entitled: IMPROVED SEMICONDUCTOR WAFER SURFACE AND METHOD OF
TREATING A SEMICONDUCTOR WAFER SURFACE

Enclosed are:

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | 50 pages of specification. |
| <input checked="" type="checkbox"/> | 11 sheets of formal drawings. |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventors. |
| | a copy of an executed declaration of the inventor from prior application Serial No. , filed . |
| | incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment cover sheet. |
| | Information Disclosure Statement with Form PTO-1449. |
| | copies of the Information Disclosure Statement citations. |
| | preliminary amendment. |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized. |
| | applicant claims small entity status under 37 CFR 1.27. |
| | a certified copy of the Priority Document. |
| <input checked="" type="checkbox"/> | other: Application Data Sheet . |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

 Continuation Divisional Continuation-in-part (CIP)

of prior application No. , filed .

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Customer No. 000466. |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709. |

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(continued)

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	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 710	\$ 710
TOTAL CLAIMS	56 - 20 =	36	x\$ 18	648
INDEPENDENT CLAIMS	4 - 3 =	1	x\$ 80	80
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 270	
			TOTAL	\$1,438.00
If applicant claims small entity status under 37 CFR 1.27, then divide total fee by 2, and enter amount here.		SMALL ENTITY		
		TOTAL		\$

X	A check in the amount of \$1,478 to cover the filing fee is enclosed.
X	The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
	Charge the amount of \$ as filing fee.
X	Credit any overpayment.
X	Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.
	Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.

November 20, 2000



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